

Maximal Independent Sets in Clique-free Graphs

Sam Spiro, UC San Diego.

Joint with Xiaoyu He and Jiayi Nie

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Given a graph G , we say that a set $I \subseteq V(G)$ is a *maximal independent set* (abbreviated MIS) if I is an independent set but $I \cup \{v\}$ is not for any $v \notin I$.

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Question

Given a family of graphs \mathcal{G} , what's the maximum number of MIS's that a graph $G \in \mathcal{G}$ can have?

History

Let $m(n)$ denote the maximum number of MIS's in an n -vertex graph.

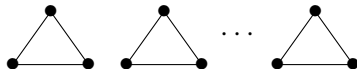
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Let $m(n)$ denote the maximum number of MIS's in an n -vertex graph.

Theorem (Miller, Muller 1960; Moon, Moser 1965)

If $n \geq 2$, then

$$m(n) = \begin{cases} 3^{n/3} & n \equiv 0 \pmod{3}, \\ 4 \cdot 3^{(n-4)/3} & n \equiv 1 \pmod{3}, \\ 2 \cdot 3^{(n-2)/3} & n \equiv 2 \pmod{3}. \end{cases}$$



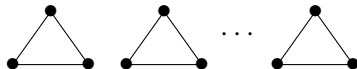
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What happens if we consider graphs which are “far” from this extremal construction?

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This theorem (and variants thereof) have found applications in counting the number of maximal triangle-free graphs on n -vertices (Balogh-Petříčková) as well as to counting the number of maximal sum-free subsets (Balogh-Liu-Sharifzadeh-Treglown).

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Theorem (Nielsen 2002)

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$$m(n, k) = \lfloor n/k \rfloor^{k-s} \lceil n/k \rceil^s.$$



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Again one can ask how this function changes if we consider graphs which are “far” from the disjoint union of cliques.

Clique-free Graphs

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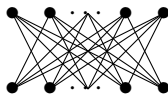
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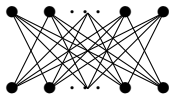
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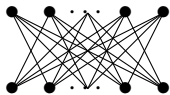
Note that the comatching has (at least) $\lfloor n/2 \rfloor$ 2-MIS's.

Clique-free Graphs

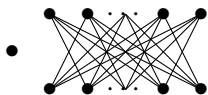


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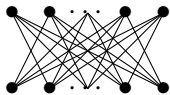


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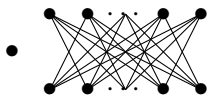


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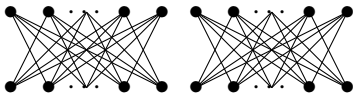
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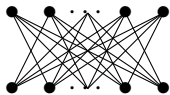


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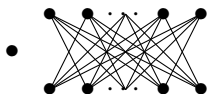


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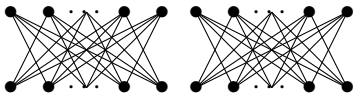
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More generally this shows $m_t(n, k) = \Omega(n^{\lfloor k/2 \rfloor})$ for fixed k .

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Reasonable Question

Is it the case that for all k, t we have

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and the unique graph achieving this bound is a comatching of order n . Moreover, we have

$$m_3(n, 3) = \Theta(n),$$

$$m_3(n, 4) = \Theta(n^2).$$

Better Constructions

Proposition

For all $t \geq 4$,

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Claim: every triangle $T = \{u, v, w\}$ in G is a 3-MIS in G' .

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Mimicking this proof strategy, we can improve our bounds if there exists k -partite n -vertex graphs with many copies of K_{k-1} which are all contained in a unique K_k .

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For all $1 \leq \ell < k$ there exist n -vertex graphs with $n^{\ell-o(1)}$ copies of K_ℓ such that every K_ℓ is contained in at most one K_k .

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Taking $\ell = k - 1$ gives the following:

Proposition

For $k < t$ we have

$$m_t(n, k) \geq n^{k-1-o(1)}.$$

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By taking disjoint unions of these constructions (like we did with K_1 and comatchings) gives the following:

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For all fixed k, t , we have

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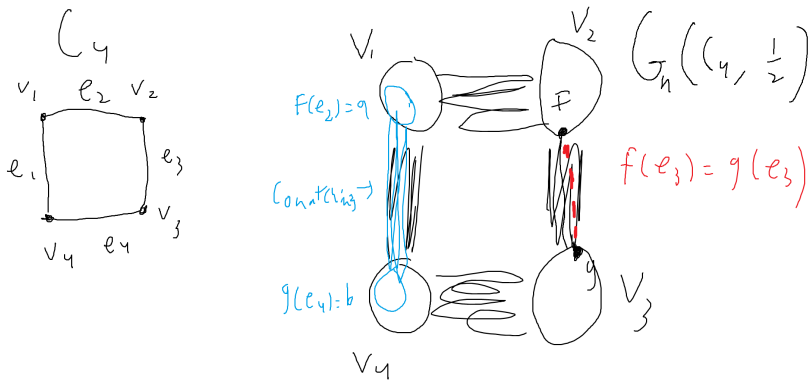
Reasonable Question

Is this bound essentially tight? In particular, for triangle-free graphs do we have

$$m_3(n, k) = \Theta(n^{\lfloor k/2 \rfloor}).$$

Better Construction: Blowups

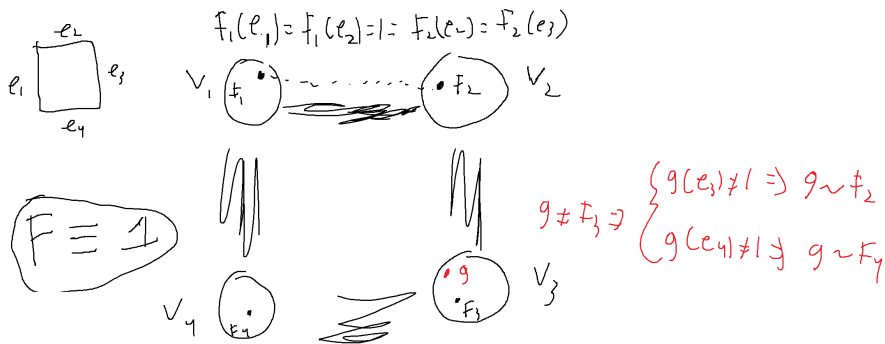
Consider a C_k with edges e_1, \dots, e_k and vertices $v_i \in e_i \cap e_{i+1}$ and define $G_n(C_k, \frac{1}{2})$ as follows. Let V_i consist of the set of functions $f : \{e_i, e_{i+1}\} \rightarrow [n^{1/2}]$. We make $f \in V_i$ adjacent to $g \in V_j$ if and only if there is an edge $e \in E(C_k)$ with $v_i, v_j \in e$ (i.e. if $i = j \pm 1$) and such that $f(e) \neq g(e)$.



Better Construction: Blowups

Lemma

For each function $F : E(C_k) \rightarrow [n^{1/2}]$, the set I of $f \in V(G_n(C_k, \frac{1}{2}))$ which agree with F forms a k -MIS.



Better Construction: Blowups

These blowups have kn vertices, have at least $n^{k/2}$ k -MIS's (i.e. functions $F : E(C_k) \rightarrow [n^{1/2}]$), and they are triangle-free for $k > 3$.

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One can generalize this blowup construction in two ways.

Better Construction: Blowups

Given any k -vertex graph H and a fractional matching M , define $G_n(H, M)$ to be the graph on $\bigcup_{u \in V(H)} V_u$ where V_u are the set of functions f which map $e \ni u$ to $[n^{M(e)}]$, and we make $f \in V_u, g \in V_w$ adjacent iff $uw \in E(H)$ and $f(uw) \neq g(uw)$.

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If H is a k -vertex triangle-free graph and M has size $k/2$, then $G_n(H, M)$ will have kn vertices, $\Omega(n^{k/2})$ distinct k -MIS's, and be triangle-free. Thus if this bound is tight, there are many different constructions achieving it.

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One can also generalize this construction by taking “blowups” of hypergraphs H . Essentially in this setting one replaces hyperedges of H by “interwoven” copies of the Ruzsa-Szemerédi and Gowers-Janzer constructions instead of “interwoven” comatchings. With this construction and H the tight cycle, one can prove the following:

Theorem (He, Nie, S. 2021)

$t \geq 3$ and $k \geq 2(t - 1)$, then

$$m_t(n, k) \geq n^{\frac{(t-2)k}{t-1} - o(1)}.$$

Note that this drops the floor from the previous bound.

Upper Bounds

We think these lower bounds are essentially best possible:

Conjecture (He, Nie, S.; S.)

For all fixed k, t , we have

$$m_t(n, k) = O(n^{\frac{(t-2)k}{t-1}}).$$

Moreover, for $k < 2(t-1)$ we have

$$m_t(n, k) = O(n^{\lfloor \frac{(t-2)k}{t-1} \rfloor}).$$

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It's easy to prove $m_t(n, 1) \leq t$, and then one can inductively use that (roughly) $m_t(n, k) \leq n \cdot m_t(n, k-1)$.

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The case $k \leq 2$ follows from the previous proposition, and the $k = 4$ case will follow from the $k = 3$ case since (roughly) $m_3(n, 4) \leq n \cdot m_3(n, 3)$. Thus it remains to prove this for $k = 3$.

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Lemma

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$$t(G', k) \geq (4k)^{-k} m(G, k),$$

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That is, to determine $m_3(n, k)$ it suffices to restrict our attention to counting transversal MIS’s in k -partite triangle-free graphs.

Upper Bounds

Lemma

If G is an n -vertex triangle-free 3-partite graph on $U \cup V \cup W$, then it contains at most n transversal MIS's.

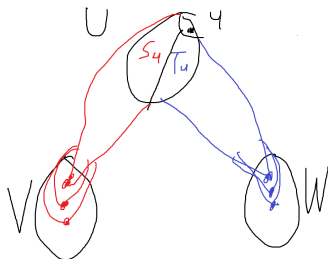
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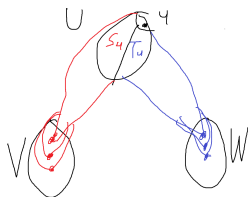
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Claim

For every $u \in U$ in at least one transversal MIS, there exist unique sets $S_u, T_u \subseteq U$ such that any MIS $(u, v, w) \in U \times V \times W$ satisfies $N(v) \cap U = S_u$ and $N(w) \cap U = T_u$.

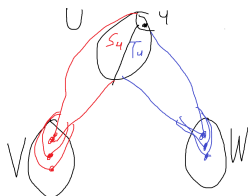


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Define $V(S) = \{v \in V : N(v) \cap U = S\}$ and similarly $W(T)$. Let $U_{\geq 2} \subseteq U$ be the vertices in at least two transversal MIS's.

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The number of transversal MIS's using $u \in U_{\geq 2}$ is at most

$$\sum_{u \in U_{\geq 2}} \min\{|V(S_u)|, |W(T_u)|\}.$$

Upper Bounds

Claim

In the sum

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This doesn't count MIS's which have $u \notin U_{\geq 2}$, giving an extra count of at most $|U|$.

Open Problems: Order of Magnitude

There are many, many open problems left to explore.

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Conjecture

$$m_3(n, 5) = \Theta(n^{5/2}).$$

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Proposition (He, Nie, S. 2021)

If G is an n -vertex graph which is the subgraph of a blowup of C_5 , then it contains at most $O(n^{5/2})$ 5-MIS's.



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Conjecture

If G is an n -vertex subgraph of a blowup of a k -vertex triangle-free graph H , then G contains at most $O(n^{k/2})$ k -MIS's.

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There exists a $k > 4$ such that

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Our current best (non-trivial) bound is $O(n^{k-2})$, and we conjecture that the real answer is $O(n^{k/2})$.

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For any fixed t , is it true that

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If true, one can easily extend this to show that our lower bounds are tight for $k < 2(t - 1)$, i.e. when we only have a floor in the exponent. We know this is true for $t = 3$, and it may be possible to extend our ideas to t -partite K_t -free graphs.

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Are the $o(1)$ terms in our exponents necessary when $t \geq 4$? In particular, is it true that

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This follows by reducing the problem to the Ruzsa-Szemerédi problem like before. It's possible that this same $o(1)$ term is necessary for K_4 -free graphs in general, showing that $m_t(n, k)$ is intimately connected to the Ruzsa-Szemerédi problem.

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If G is an n -vertex K_4 -free graph with at least $n^{\lfloor 2k/3 \rfloor - \epsilon}$ k -MIS's, is it true that G has chromatic number $O_k(1)$?

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Note that for K_3 -free graphs it is easy to prove that if G has at least 1 k -MIS, then $\chi(G) \leq k + 1$

Open Problems: Asymptotics

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We can prove an upper bound of $\frac{1}{2}(t-1)n$ by a simple counting argument, and a lower bound of roughly $\frac{1}{4}(t-1)n$ by taking a comatching of size $2n/(t-1)$ and replacing each vertex with a clique of size $(t-1)/2$.

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There are several constructions giving these asymptotics: a comatching together with a K_2 , a $K_{n/2, n/2}$ minus any 2-factor, and a “blowup” construction of P_2 .

Open Problems: Large k

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$$m_3(n, k) \geq (25/32)^{k-n/2} 2^{n/2}.$$

Construction: take $n - 2k$ copies of C_5 and $5k$ copies of K_2 . This is motivated by the Hujter-Tuza triangle-free construction which consists of a perfect matching with a C_5 if n is odd, which suggests that C_5 and K_2 are the most “efficient” triangle-free components for finding MIS's.

Open Problems: Hypergraphs

Define $m_t^r(n, k)$ to be the maximum number of MIS's of size k in a K_t^r -free r -uniform hypergraph on n vertices.

Open Problems: Hypergraphs

Define $m_t^r(n, k)$ to be the maximum number of MIS's of size k in a K_t^r -free r -uniform hypergraph on n vertices. Somewhat surprisingly, we can completely determine the order of magnitude of $m_t^r(n, k)$ for $r \geq 3$.

Proposition (He, Nie, S. 2021)

For $n \geq 4$, we have

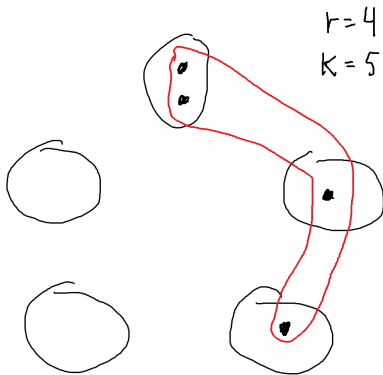
$$m_4^3(n, 2) = n - 1.$$

For any other set of parameters satisfying $r \geq 3$, $k \geq r - 1$, and $t \geq r + 1$,

$$m_t^r(n, k) = \Theta_k(n^k).$$

Open Problems: Hypergraphs

Construction: split vertex set into blocks V_i of size n/k , take your hyperedges to be any set using two vertices from some V_i and one from each of $V_{i+1}, \dots, V_{i+r-2}$.



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How many MIS's (in general or of a given size) can an n -vertex r -uniform hypergraph have?

The best construction I know of is to take a disjoint union of cliques of size $2r - 1$. Note that when $r = 2$ this gives a disjoint union of triangles (which is tight).

The End

Thank You!